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Assistant Commissioner for Patents
 ATTN: CUSTOMER SERVICE CENTER / INITIAL PATENT DIV.
 Washington, D.C. 20231

RE: Our File: WAUE 3.0-009 OIPE
 Applicant: SMITH
 Filed: 11/01/01
 For: A1000 MODULE FOR
 WAVE ENERGY DISPERSION



Serial, Patent No.: 10/002,601

Group Art Unit:

Examiner:

Batch No.:

COPY OF PAPERS
ORIGINALLY FILED

Enclosed for filing in the U.S. Patent and Trademark Office are:
 () Utility/Design/Provisional/Patent Application

(X) Law Firm Transmittal Letter	() Response/Amendment
() Letter-Official Draftsman	(X) Affidavit/Declaration P. 6
() Preliminary Amendment	() Petition
() Notice of Appeal/Motion/Brief	() Disclosure Statement
(X) Assignment/Cover Sheet	() Small Entity Statement
() TABS _____ to _____	() Issue Fee/Maint. Fee
() Certificate of Correction	() Sworn Translation
(X) Check No. <u>4591</u> - \$ <u>475.00</u> (435+46) (X)	() Postcard acknowledging receipt of the above-identified material
() Claim of Priority - Cert'd Copies	() Application Cover Sheet
() Drawings _____ sheets	(X) <u>FORMALITIES LETTER 4198</u>
() Formal/Informal	

Conditional Petition and Fee for Extension of Time: If any extension of time for the accompanying response is required, applicant requests that this be considered a petition therefor.

In connection with the above-identified matter, please charge any additional fees or any other charges related to this matter to the deposit account of the writer, No. 23-0812. A duplicate copy of this letter is enclosed.

Respectfully submitted,


 Edward R. Weingram
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Enclosures

DATED: 2/14/02

I HEREBY CERTIFY THAT THIS CORRESPONDENCE IS BEING DEPOSITED WITH THE U.S. POSTAL SERVICE WITH SUFFICIENT POSTAGE AS FIRST CLASS MAIL IN AN ENVELOPE ADDRESSED TO: COMMISSIONER OF PATENTS AND TRADEMARKS, WASHINGTON, D.C. 20231, ON

DATED: 2/14/02



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WASHINGTON, D.C. 20231
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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/002,601	11/01/2001	Dennis G. Smith	WAVE 3.0-009

28885
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MAYWOOD, NJ 07607

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FORMALITIES LETTER



OC000000007224421

Date Mailed: 12/21/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 370 to complete the basic filing fee for a small entity.
- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 435.**

*A copy of this notice **MUST** be returned with the reply.*

Ted

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

03/11/2002 NRC00481 00000021 1000E501

01 FC:201
02 FC:205